

10/019117

531 Rec'd PCT/FT 21 DEC 2001
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : R. Stefanek
FOR : **HEMMING APPARATUS AND
METHOD**
SERIAL NO. : n/a
FILED : herewith
INTERNATIONAL
APPLICATION NO. : PCT/US00/17933
INTERNATIONAL FILING DATE : June 29, 2000
PRIORITY DATE : June 29, 1999
EXAMINER : n/a
ART UNIT : n/a
LAST OFFICE ACTION : n/a
ATTORNEY DOCKET NO. : SFK 2 0002 US

Cleveland, Ohio 44114-2518
December 21, 2001

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, 1.98 and MPEP § 609, applicant(s) submit(s) the following Disclosure Statement concerning art of which the applicant(s) is(are) aware. A copy of PTO-1449 is enclosed herewith.

Under Rule 98(a)(3), no concise explanation of relevance is required for information that is in the English language. Accordingly, the enclosed patents require no further explanation (or no translation is available).

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PATENT NO.	INVENTOR(S)
1,693,643	D'Ardenne
1,961,582	Eksergian
3,143,095	Tribe
3,903,934	Vizy
4,346,579	Takatsu
4,453,396	Takatsu
5,315,855	Jackson
5,404,792	Wilson, et al.

PATENT No.	COUNTRY
JP 57-124524	Japan
JP 57-184520	Japan
JP 1-249226	Japan
JP 3-184635	Japan
JP 7-88567	Japan
JP 2000-288660	Japan
JP 2000-343156	Japan

X Within THREE MONTHS OF FILING

Under Rule 97(b)(1), this information disclosure statement is being filed within three months of the filing date of the application. Therefore, no fee is necessary.

 BEFORE FIRST OFFICE ACTION

Under Rule 97(b)(3), this information disclosure statement is being filed before the mailing date of a first Office Action on the merits and accordingly no fee is necessary.

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BEFORE FINAL ACTION/WITH FEE

Under Rule 97(c)(2), this information shall be considered if filed before the mailing date of a final action if accompanied by a fee in the amount of **\$180.00** as required by §1.17(p). Accordingly, the necessary fee accompanies this information disclosure statement. Any overpayment or deficiency can be charged to Deposit Account No. 06-0308.

BEFORE FINAL ACTION/NO FEE

Under Rule 97(c)(1), this information shall be considered because no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

INTERNATIONAL SEARCH REPORT

Under Rule 97(e)(1), the undersigned certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement and accordingly no fee is necessary.

AFTER NOTICE OF ALLOWANCE

Under Rule 97(d), this information disclosure statement will be considered after receiving a Notice of Allowance if accompanied by (a) a Certification under Rule 97(e)(1), and (b) the petition fee set forth in §1.17(i).

(a) Under Rule 97(e)(1), the undersigned certifies that each item of information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; and

(b) enclosed if a check in the amount of \$130.00 in payment of the fee due under §1.17(i).

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NO COPY OF REFERENCE REQUIRED (sentence to use when filing an IDS on a Divisional Appl.)

Copies of the following art were previously cited by or submitted to the Patent and Trademark Office in prior application Ser. No. 09/310,421; filed May 12, 1999, which is relied upon for an earlier filing date under 35 U.S.C. § 120:

Respectfully submitted,

**FAY, SHARPE, FAGAN,
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**EXPRESS MAIL
CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this TRANSMITTAL LETTER TO THE U.S. ELECTED OFFICE (EO/US) (ENTRY INTO U.S. NATIONAL PHASE UNDER CHAPTER II) and the documents referred to as enclosed therein are being deposited with the United States Postal Service on December 21, 2001 in an envelope as "Express Mail Post Office to addressee" Mailing Label Number EL852784566US, addressed to the Box PCT, Assistant Commissioner for Patents, Washington, D.C. 20231, Attention: EO/US.



Audrey M. Dragony